Integrated Audit Criteria for CCF Code

eQual® assurance

Audit Criteria		CCF Code:Issue 9 (CF9)		CCF Code:Issue 10 (CF10)
3.1 General		Preface Scope Definitions		Scope References Definitions
3.2 Context	**3.2.1 Organizational context**	N/A*		4.2 Business scope
	3.2.2 Needs and expectations of interested parties	2.1 Tender and Quotes		4.5 Customer and Community focus
	3.2.3 Management system	N/A*		4.1 Management system and its processes
3.3 Leadership	**3.3.1 Leadership and commitment**	1.3 Management Representative		4.3 Leadership
	3.3.2 Policy	1.1 Policy Statement		N/A*
	3.3.3 Roles, responsibilities and authorities	1.2 Organisation and Responsibility 9.2 Safety Representative 10.2 Environmental Representative		4.4 Roles, responsibilities and authority
3.4 Planning	**3.4.1 Risks and opportunities**	7.3 Duty of Care 8.4 External Audit 9.1 (Health & Safety Issues) General	9.3 Plant and Permit to Work Regulations 10.1 (Environmental Issues) General 10.3 Permits and Regulations to Work	N/A*
	3.4.2 Objectives and related planning	N/A*		N/A*
3.5 Support	**3.5.1 Resources**	11.2 Professional Support 12.1 (Finance and Insurance Status) General 12.2 Company Structure	12.3 Accounting Facilities 12.4 Insurance 13.1 (Industrial Relations) General	N/A*
	3.5.2 Competence	3.2 Training and Supervision 7.1 Competency Training 11.1 (Technical Ability) General		6.2 Competence and awareness
	3.5.3 Awareness	7.2 Induction Training		6.2 Competence and awareness
	3.5.4 Communication	N/A*		5.4 Communication and consultation
	3.5.5 Documented information	6.1 Document and Data Control 6.2 Records		6.1 Information management
3.6 Operation	**3.6.1 Operational planning and control**	2.2 Review of Client Requirements 2.3 Variations to Contracts 2.4 Design Management 3.1 Project Management Plan 3.3 Separate Procedures	4.2 Identification and Traceability 5.1 Purchasing Method 5.2 Receiving, Inspecting and Storing Purchased Goods and Customer Supplied Product 9.4 Health & Safety Risk Analysis & Audit 10.4 Environmental Risk Analysis & Audit	5.1 Planning 5.2 Controls
	3.6.2 Emergency preparedness and response	N/A*		5.5 Emergency preparedness
3.7 Performance	**3.7.1 Measurement, analysis and evaluation**	1.4 Customer Satisfaction 4.1 Inspection and Testing 4.4 Control of Inspection Measuring and Test Equipment		5.3 Monitoring and maintenance
	3.7.2 Internal audit	8.2 Self Review of Management System 9.6 Safety Audit 10.6 Environmental Audit		N/A*
	3.7.3 Management review	8.3 Business Management Review		N/A*
3.8 Improvement	**3.8.1 Nonconformity and corrective action**	4.3 Control of Unsatisfactory Work, Nonconforming Material and Management System Failures 8.1 Corrective and Preventive Action (Business Improvement)		6.3 Incident management
	3.8.2 Continual improvement	8.1 Corrective and Preventive Action (Business Improvement)		5.6 Improvement management

* Some Audit Criteria do not have direct corresponding CCF Code Criteria (denoted as "N/A"). This does not necessarily imply that such requirements do not exist, but that where and to the extent they do, they have been integrated into other requirements of the CCF Code.

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